



rosi@envisioncounseling.net | P: 206.858.1177 ext. 137 | envisioncounseling.net

Dear New Client,

Thank you for choosing Envision Counseling. This packet includes the information you will need to begin counseling services.

My **Disclosure Statement** describes: (a) how I conduct therapy; (b) my education and training; (c) billing and insurance policies; (d) fees for therapy services; (e) appointment scheduling guidelines; (f) your client rights and responsibilities; (g) my responsibilities as your therapist and a mandated reporter; (h) confidentiality in therapy; and (i) how therapy is initiated and terminated.

The **Intake Form** provides me your contact and billing information, and your reasons for seeking therapy. Also included in the **Intake Form** packet is my **Professional Disclosure, Washington State Clients Rights Statement**, and **Notice of Privacy Practices**. Please read, sign, initial and date all the forms where indicated. If you are scheduled for couples therapy, you and your partner should individually complete pages 6-8, and jointly sign, initial and date at the bottom of each page where indicated.

Feel free to contact me with any questions.

I look forward to meeting you,

Sincerely,
Rosi Levin, MA, LMFTA

Julie's Landing on Lake Union
2100 Westlake Ave N, Suite 201
Seattle, WA 98109

Canyon Park Business Center
22125 17th Ave SE, Bldg. F, Suite 101
Bothell, WA 98021

Cabrini Medical Towers
901 Boren Ave, Suite 1020
Seattle, WA 98104

Disclosure Statement

Philosophy and Approach

As a licensed Marriage and Family Therapist Associate (LIC# MG.60739162), I work with individuals, couples and families. My approach to therapy is client-focused with an emphasis on including the whole system when defining the problem that may bring you to therapy. My work is individually tailored to meet the needs of the client/s, which means that the methods/theories that I include in our sessions will be based on what best serves your personality and needs. We are all unique! Some of the theoretical perspectives I like to work within include Family Systems Therapy, Cognitive-Behavioral Therapy and Internal Family Systems from an overall trauma perspective. I love working with clients to help them achieve their goals, increase overall well-being, and gain insight into the source of their thoughts and behaviors.

I'm from New York City so I tend towards being more direct as a therapist, but with patience and compassion. It is important for me to deeply understand and work within my client's cultural backgrounds – spiritual, religious, ethnic, geographic and family structure. Some of the issues you may see me for include: anxiety, depression, post- traumatic stress disorder, parenting, relationship distress, family distress, and personal wellness.

Education

Masters of Arts in Marriage and Family Therapy
Antioch University, Seattle WA 2016

Bachelors of Arts in Psychology
Touro College, New York NY 2010

Experience

I am a Licensed Marriage and Family Therapist Associate (LIC# MG.60739162) in the State of Washington. I have worked with children, adolescents and adults both individually and with their families in a community mental health setting. My education, training and experience have prepared me to counsel individuals of all ages, ethnicities, race, religion and sexual orientation.

_____, _____ (Initial and Date Here)

Informed Consent

Counseling is understood to be a choice you have made among available options such as (a) other counselors; (b) other therapies; (c) support groups; (d) self-help resources; and (e) other modes of treatment. Counseling can have benefits and risks. Counseling sometimes involves discussing unpleasant aspects of your life, and you may experience uncomfortable feelings, such as sadness, guilt, anger, frustration, loneliness, and helplessness. On the other hand, counseling has been shown to have many benefits. It often leads to better relationships, solutions to specific problems, and significant reductions in feelings of emotional distress. Some clients require only a few sessions to achieve their goals, while others benefit from long-term counseling. You have the right to terminate counseling at any time; however, it is understood that premature termination may result in the return or worsening of the initial problems and symptoms.

I encourage you to talk with me directly if you are dissatisfied with my services, want a second opinion or referral, or if you are intending to discontinue appointments. If I am not able to resolve your concerns, you have the right to file a complaint with the Department of Health.

Confidentiality

I am providing you with a copy of my *Notice of Privacy Practices*, which describes how I may use and disclose your health information. In this document I will highlight some of those disclosures: (1) to report suspected abuse of a child, of a developmentally disabled person, or of a dependent adult; (2) to interrupt potential suicidal behavior; (3) to intervene against threatened harm to another (which may include knowledge that a client is HIV positive but is unwilling to inform others with whom he/she is intimately involved); and (4) when required by court order or other compulsory process.

Confidentiality extends to all members involved in therapy. This means I will not release to any third party any information prior to obtaining a signed ***Release of Information*** from each member. Additionally, I am not bound by confidentiality in joint sessions with information I have obtained in individual sessions. Thus, I reserve the right to discuss in joint sessions the information you share in the individual sessions, if I believe doing so will facilitate the identified outcomes and goals of therapy.

Disclosures may also be made if (a) you sign a written authorization permitting disclosure; (b) you file a complaint against me; (c) you make payment by check, which permits bank employees to view names of my clients; (d) you have caller identification on your phone and my name appears on the monitor; and if (d) a contracted third-party agent contacts you by mail or phone to receive payment for a balance due that exceeds 90 days.

As a licensed marriage and family therapist associate, I engage in ongoing mutual consultation and peer review with other professional therapists, as well as ongoing supervision with Kim McBride, MA, LMFT. I consult with other therapists regarding my cases because I believe our collective knowledge may help me provide you the best counseling services possible. I do not disclose names or details that would allow identification of my clients during these processes.

_____, _____ (Initial and Date Here)

Professional Boundaries

I refrain from entering into a dual relationship with any of my clients. This means the therapeutic relationship is a professional one, not a social or business relationship. Once a therapeutic relationship is established, any other relationship would potentially compromise the efficacy and the outcome plan for therapy. Therefore, I will not acknowledge the existence of a relationship with my clients outside of the therapy session.

Appointment Times and Fees

Daytime appointments are available. The initial session requires 90 minutes, followed typically with 50-minute sessions once per week. You will be provided with the recommended course of therapy and number of required sessions at the conclusion of your first appointment.

My fee for an initial visit is \$85 and \$85 for subsequent visits. 48 hours' notice is required when rescheduling appointments to avoid an \$85 charge. Missed appointments are also charged at \$85. If you miss two consecutive sessions without prior notification, I will assume you no longer wish to obtain therapeutic services, and will mail you my notice of termination.

How Insurance Works

It is your responsibility to provide current and accurate insurance coverage information to ensure your insurance company properly processes your claims. Once received, the Envision client care coordinator will verify your insurance benefits and submit insurance claims on your behalf. If prior to your first appointment you have not authorized verification of your insurance eligibility, payment in full is required. Verification of your insurance determines your session payment amounts as follows:

- If I am an out-of-network provider: You are responsible for the dollar amount remaining after subtracting the insurance estimated portion, plus any co-pays and deductible amounts.
- If I am an in-network provider: Your insurance reimburses me their contracted allowable amount, and you pay any co-payments and deductible amounts.

Your insurance company and I are required by law to protect your healthcare information (see the attached *Notice of Privacy Practice*) including systems and policies in place to ensure your private information is protected. To this end, all insurance verification transferred electronically by Envision Counseling is encrypted. At a minimum I am required to provide to your insurance company a diagnosis. Your insurance company may require of me additional information i.e., your treatment plan, progress/session notes, or copies of your entire clinical record. In any case, I will submit to your insurance company the minimum information necessary to conduct business on your behalf, and only in so far as your release of information authorizes. As a licensed marriage and family therapist associate I will submit to your insurance company under my supervisor, Kim McBride, MA, LMFT.

Scheduling Appointments and After-Hours Contact

Please call 206.858.1177 to schedule an appointment. If you wish to speak to me between

_____, _____ (Initial and Date Here)

appointments, please leave a message at 206.858.1177. I check my voicemail regularly during normal business hours. If you are experiencing a clinical emergency, contact 911 or the Crisis Clinic at 206.461.3222.

I will do my best to keep all communications private. However, I cannot guarantee that the contents of electronic communication will remain confidential as email usage can be monitored and others may read the content of personal messages. If you are concerned about the content of your email being read by someone other than me, you should contact me by phone. While I check my email often during regular office hours, I may not receive your message immediately. Therefore, please do not send email you consider urgent and expect an immediate reply. I do not offer online therapy nor do I engage in communication via social media with clients or families of clients.

Vacations

I will give reasonable notice before taking vacation leave. When I am unavailable, a colleague will be on call for emergencies. The name and phone number of this individual will be on my office phone. If you anticipate continuing treatment during this time, I will help you make arrangements with another therapist in advance. If you are experiencing an emergency and are unable to contact my on-call therapists, please contact 911 or King County Mental Health Services, 206.461.3222

Record Keeping

I keep very brief records for each therapy session including:

1. Date of service;
2. Client's name;
3. Fee arrangement and record of payment;
4. Disclosure form, signed by the client and me;
5. Presenting problem(s), purpose or diagnosis;
6. Notation and results of formal consults, including information obtained from other persons or agencies through a release of information;
7. Progress notes sufficient to support responsible clinical practice for the type of theoretical orientation/therapy I use.

If you prefer that I keep no treatment records, you must submit a written request to that effect. Once received, I will place your request in your file and retain only the following records: Your name and signed disclosure statement, the session date and fee for service.

Client Rights

As a client in therapy, you have specific rights in addition to the right of confidentiality. These rights include:

- The right to ask me questions about my qualifications and experience;
- The right to ask questions about any procedures I use in therapy with you;
- The right to refuse a particular treatment method or testing;
- The right to discuss your therapeutic progress and treatment goals;
- The right to refuse any psychological testing I recommend;

_____ (Initial and Date Here)

- The right to request referral to another therapist;
- The right to terminate or suspend therapy at any time without my permission or agreement;
- The right to file a complaint with the Washington State Department of Health if you believe I have behaved in an unprofessional or unethical manner and decide that a resolution to the problem cannot be reached.

Please see the attached Department of Health Brochure, *Counseling or Hypnotherapy Clients* for information regarding how to file a complaint. You also have specific rights pertaining to how I maintain personal information about you and your health (please review the *Notice of Privacy Practices*).

Terminating Treatment

My goal is to assist you in obtaining your desired therapeutic outcomes. If you have any questions or concerns about any aspect of your therapy, please discuss them with me. If you elect to terminate or suspend treatment, please discuss your decision with me so that we can bring sufficient closure to our work together. In our final session we can discuss your progress thus far and explore ways in which you can continue to utilize the skills and knowledge you have gained through your therapy. We can also discuss any referrals that you may require at that time.

Independent Group Practice

I conduct my counseling as an independent practitioner in a group practice. Given this, I share a common waiting room and a secure medical record storage system with other independent practitioners.

By signing below, each of us confirms this document to represent the agreement between us, and that you have read, understood and received copies of this disclosure along with a copy of *Notice of Privacy Practices* and the Department of Health Brochure, *Counseling or Hypnotherapy Clients*.

Client _____ Date _____

Client _____ Date _____

Therapist _____ Date _____

_____, _____ (Initial and Date Here)

NEW CLIENT INFORMATION

Name _____ DOB ___/___/___ Age _____

Preferred Phone _____ Cell Home Work Other: _____

Email _____

Preferred Communication Cell Home Work Email

Okay to leave a message on my: Home Cell Work Other

Residential Address _____ City _____ Zip _____

May I send mail to this address? Yes No

May I use email to confirm appointments? Yes No

Employer _____ Type of Work _____

Relationship Status (required by insurance companies)

Single /Married /Partnership /Divorced /Separated /Widowed /Other

Emergency Contact _____

Relationship _____ Phone _____

Financial Responsibility—*If you will be using insurance benefits, please complete this section:*

Name of Insured _____

Preferred Phone _____ Cell Home Work Other: _____

Email _____

Preferred Communication: Cell Home Work Email

Okay to leave a message on my: Home Cell Work number Other

Residential Address _____ City _____ Zip _____

Insurance Authorization: I authorize release of information, including copies of medical records to my insurance carrier, managed care company, clinical/case manager, primary care

_____ (Initial and Date Here)

physician as needed to fulfill insurance requirements for processing my claims or as needed for treatment planning and management required by my insurance carrier. I further authorize payment of insurance benefits for services rendered to Envision Counseling, LLC.

Financial Responsibility: I understand that if my insurance company should deny payment for any reason, I will be responsible for any outstanding financial debt associated with therapy services.

Client _____ Date _____

Therapist _____ Date _____

_____, _____ (Initial and Date Here)

What prompted you to seek therapy?

Who is impacted by the issue?

Is there anything else you think would be helpful for me to know about you or your situation?

Have you had any prior counseling or psychiatric treatment? ___ No ___ Yes

If yes:

When? _____ Where? _____

Reason for and length of counseling _____

Check one: Therapy was ___ helpful ___ not helpful. Please explain:

MEDICAL / PHYSICAL HEALTH

Name, address and phone number of your primary care physician:

_____, ____ (Initial and Date Here)

Date of your last physical exam

Have you been under a physician's care for any reason in the last five years? If yes, please explain:

PLEASE CHECK BEHAVIORS AND SYMPTOMS YOU CURRENTLY EXPERIENCE

- | | | |
|---|--|---|
| <input type="checkbox"/> Aggression | <input type="checkbox"/> Fatigue | <input type="checkbox"/> Panic attacks |
| <input type="checkbox"/> Alcohol use | <input type="checkbox"/> Flashbacks | <input type="checkbox"/> Phobias/fears |
| <input type="checkbox"/> Anger | <input type="checkbox"/> Grief | <input type="checkbox"/> Poor judgment |
| <input type="checkbox"/> Anxiety | <input type="checkbox"/> Hallucinations | <input type="checkbox"/> Self-esteem problems |
| <input type="checkbox"/> Chronic pain | <input type="checkbox"/> Heart palpitations | <input type="checkbox"/> Sexual difficulties |
| <input type="checkbox"/> Compulsive behavior | <input type="checkbox"/> High blood pressure | <input type="checkbox"/> Sleep problems |
| <input type="checkbox"/> Concentration problems | <input type="checkbox"/> Hopelessness | <input type="checkbox"/> Social withdrawal |
| <input type="checkbox"/> Cyber addiction | <input type="checkbox"/> Hyperactivity | <input type="checkbox"/> Suicidal thoughts |
| <input type="checkbox"/> Depression | <input type="checkbox"/> Impulsivity | <input type="checkbox"/> Thoughts disorganized |
| <input type="checkbox"/> Disorientation | <input type="checkbox"/> Irritability | <input type="checkbox"/> Trembling |
| <input type="checkbox"/> Distractibility | <input type="checkbox"/> Loneliness | <input type="checkbox"/> Unresolved trauma |
| <input type="checkbox"/> Dizziness | <input type="checkbox"/> Memory impairment | <input type="checkbox"/> Worrying |
| <input type="checkbox"/> Drug dependence | <input type="checkbox"/> Mood swings | <input type="checkbox"/> Other (specify): _____ |
| <input type="checkbox"/> Eating disorder | <input type="checkbox"/> Obsessive thoughts | |

_____, _____ (Initial and Date Here)

Alcohol and Substance Use

Have you ever been treated for alcohol or drug dependence/abuse? Yes No
 Have you ever felt like you should cut down on alcohol or other drug use? Yes No
 Has a friend or relative ever discussed concerns about your drug use? Yes No
 Is there a history of problem with alcohol or drug use in your family? Yes No

Have you received help for drug or alcohol dependency? No Yes **If yes:**

1. When? _____ Where? _____

Check one: Treatment was helpful not helpful. Please explain:

MEDICATION

Current Prescribed Medication	Dose	Frequency	Purpose and Side Effects
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

_____, _____ (Initial and Date Here)

NOTICE OF PRIVACY PRACTICES

Rosi Levin, MA, LMFTA

Purpose: Recognizing the trust you place in me as your counselor, I am committed to protecting the privacy of your personal information¹. I am also required by law to maintain this privacy, and to provide you with this detailed Notice of my legal responsibilities and privacy practices relating to your personal healthcare information. This is a legal document required by new federal regulations and therefore contains specific legal terms specified in federal law.

Record Keeping Practices: Standard practice requires me to keep an official record of your therapy process, including a general description of your emotional or psychological functioning, a diagnosis if required for insurance purposes, agreed-upon treatment goals, a list of symptoms, any medications, and some description of your progress throughout the time we work together.

Your Rights Relating to Your Personal Healthcare Information: You have specific legal rights relating to your personal healthcare information. First, I am required by law to maintain the privacy of your information and to provide you with this document describing my legal duties and privacy practices with respect to the information I maintain about you. You also have the following rights:

- To inspect and receive a copy of your personal healthcare information for as long as I maintain it. I am permitted to charge a reasonable, cost-based fee for copies. Only in certain limited circumstances may this right be restricted.
- To request that I amend your personal healthcare information if you believe that it is incorrect or incomplete. I am not required to agree to the amendment, but you have the right to file a statement of disagreement with me and I am allowed to prepare a rebuttal to your statement— all of which will go into your official record.
- To request restrictions on certain uses and disclosures of your healthcare information for purposes of treatment, payment or operations of my practice. You may also request that any part of your personal healthcare information not be disclosed to your family members or friends who may be involved in your care. Please be advised that I am not required to agree to such a request. If I believe it is in your best interest to make such disclosures, I will not honor your restriction request.

¹ This specific legal term refers to any information either I create (whether electronically or on paper) as a result of providing services to you, or receive about you that relates to your past, present, or future health, or payment for your healthcare, and that identifies you or which could conceivably be used to identify you.

_____, _____ (Initial and Date Here)

- To request confidential communications from me by alternative means or at an alternative address. I will accommodate reasonable requests and will not require an explanation of your request. I may condition an accommodation on your providing information as to how payment will be handled, and/or for an alternative address or other method of contact.
- To receive a copy of the required accounting of disclosures that I make of your personal healthcare information. This accounting documents non-routine disclosures or those made for purposes other than treatment, payment or operations of my practice. It also excludes disclosures I may have made to you or disclosures made at your request and accompanied by a specific written authorization of disclosure.
- To file a written complaint with me and/or with the Secretary of Health & Human Services. I will not retaliate against you for filing such a complaint.

Sign _____

Date _____

_____, _____ (Initial and Date Here)

Uses and Disclosures of Your Healthcare Information

This Notice describes how medical information about you may be used and disclosed and how you can get access to this information. Please review this Notice carefully.

I may use your personal healthcare information for the purpose of providing you treatment. To coordinate and manage your care, I may disclose your information to others of your current providers, and to the extent you have not raised an objection in writing, to your prior providers, or to other persons (including family members), involved in your care.

I may use your personal healthcare information in connection with billing statements I send you and in my system for tracking charges and credits to your account. With your authorization, I may disclose your information to third party payers to obtain information concerning benefit eligibility, coverage, and remaining availability, as well as to submit claims for payment and disclose your healthcare information for medical necessity and quality assurance reviews. I may use and disclose your personal healthcare information for the healthcare operations of my practice in support of the functions of treatment and/or payment. Such disclosures would include those for administrative, legal, or financial services to assist me in providing your healthcare treatment.

Other Uses and Disclosures that Do NOT Require Your Authorization or an Opportunity to

Object: I may use or disclose your personal healthcare information to the extent that the use or disclosure is required by law, made in compliance with the law, and limited to the relevant requirements of the law. Examples are public health reports, abuse and neglect reports, law enforcement reports, and reports to coroners and medical examiners in connection with investigation of deaths. I must also make disclosures to the Secretary of the Department of Health and Human Services for the purpose of investigating or determining my compliance with the requirements of the Privacy Rule.

I may also disclose your personal healthcare information to a health oversight agency for activities authorized by law such as my professional licensure. Oversight agencies also include government agencies and organizations that audit the provision of financial reimbursement to me, such as third party payers. I may disclose your healthcare information when necessary to minimize an imminent danger to the health or safety of you or any other individual.

I may use your personal information to contact you to remind you of your appointments with me. I may disclose your personal healthcare information to Business Associates that are contracted by me to perform professional services on my behalf which may involve their collection, use or disclosure of your personal information. My contract with these entities requires them to safeguard the privacy of your information.

_____, _____ (Initial and Date Here)

I may disclose your personal healthcare information if a court of competent jurisdiction issues an appropriate order. I will also disclose your personal healthcare information if:

- 1) You and I have each been notified in writing at least fourteen days in advance of a subpoena or other legal demand, identifying the personal healthcare information sought, and the date by which a protective order must be obtained to avoid compliance.
- 2) No qualified judicial or administrative protective order has been obtained;
- 3) I have received satisfactory assurances that you received notice of an opportunity to have limited or quashed the discovery demand;
- 4) Such time has elapsed.

Uses and Disclosures of Your Personal Healthcare Information Made With Your Authorization:

I will make other uses and disclosures of your personal healthcare information only with your written authorization. You may revoke this authorization in writing at any time, unless I have taken a substantial action in reliance on the authorization such as providing you with health care services for which I must submit subsequent claim(s) for payment.

Changes to this Notice of Privacy Practices: I am required to abide by the terms of this Notice of Privacy Practices, but I am also permitted to change the terms of this Notice at any time. Once a revision is in effect, it applies to all of your personal healthcare information that I maintain whether or not you are still in treatment with me. You may request a copy of my revised Notice of Privacy Practices at any of your appointments, ask that one be mailed to you by leaving me a message on my answering machine, or by accessing the current contact information.

I am my own Privacy Officer, so if you have any questions about this Notice of Privacy Practices, please contact me: Rosi Levin, MA, LMFTA, 206.858.1177.

COMPLAINTS

If you believe I have violated your privacy rights, you may file a complaint in writing with me. I will not retaliate against you for filing a complaint. You may also file a complaint with the Secretary of the Department of Health and Human Services.

Sign _____ Date _____

_____, _____ (Initial and Date Here)

COUNSELING OR HYPNOTHERAPY CLIENTS

Client and Counselor Responsibilities and Rights

Counselors must provide disclosure information to each client in accordance with chapter 18.19 RCW prior to implementation of a treatment plan. The disclosure information must be specific to the type of counseling service offered; in language that can be easily understood by the client; and contain sufficient detail to enable the client to make an informed decision whether or not to accept treatment from the disclosing counselor.

If you have concerns about being dependent upon your counselor or hypnotherapist, talk to him or her about it. Remember, you are going to that person to seek assistance that helps you learn how to control your own life. You can and should ask questions if you don't fully understand what your counselor or hypnotherapist is doing or plans to do.

Requirement for Registration or Licensure

Your counselor or hypnotherapist must be either registered under chapter 18.19 RCW or certified under chapter 18.25 through the Washington State Department of Health unless otherwise exempt. To be registered, a person fills out an application and pays a fee. To become licensed, a person fills out an application form and pays a fee, but he or she must also show proof of appropriate education and training. There are some people who do not need to be either registered or certified because they are exempt from the law. You should ask your counselor or hypnotherapist if he or she is registered or licensed and discuss his or her qualifications to be your counselor or hypnotherapist.

Definitions

Counseling means using therapeutic techniques to help another person deal with mental, emotional and behavioral problems or to develop human awareness and potential. A registered or certified counselor is a person who gets paid for providing counseling services.

Confidentiality

Your counselor or hypnotherapist cannot disclose any information you've told them during a counseling session except as authorized by RCW 18.19.180:

1. With the written consent of that person or, in the case of death or disability, the person's personal representative, other person authorized to sue, or the beneficiary of an insurance policy on the person's life, health, or physical condition;
2. That a person registered or certified under this chapter is not required to treat as confidential a communication that reveals the contemplation or commission of a crime or harmful act;
3. If the person is a minor, and the information acquired by the person registered or certified under this chapter indicates that the minor was the victim or subject of a crime, the person registered or certified may testify fully upon any examination, trial, or other proceeding in which the commission of the crime is the subject of the inquiry;
4. If the person waives the privilege by bringing charges against the person registered or certified under this chapter;

_____, _____ (Initial and Date Here)

5. In response to a subpoena from a court of law or the secretary. The secretary may subpoena only records related to a complaint or report under chapter 18.130 RCW; or
6. As required under chapter 26.44 RCW.

Assurance of Professional Conduct

Thousands of people in the counseling or hypnotherapy professions practice their skills with competence and treat their clients in a professional manner. If you and the counselor agree to the course of treatment and the counselor deviates from the agreed treatment, you have the right to question the change and to end the counseling if that seems appropriate to you.

We want you to know that there are acts that would be considered unprofessional conduct. If any of the following situations occur during your course of treatment, you are encouraged to contact the Department of Health at the address or phone number in this publication to find out how to file a complaint against the offending counselor or hypnotherapist. The following situations are not identified to alarm you, but are identified so you can be an informed consumer of counseling or hypnotherapy services. The conduct, acts or conditions listed below give you a general idea of the kinds of behavior that could be considered a violation of law as defined in RCW 18.130.180.

1. The commission of any act involving moral turpitude, dishonesty, or corruption relating to the practice of the person's profession, whether the act constitutes a crime or not. If the act constitutes a crime, conviction in criminal proceeding is not a condition precedent to disciplinary action. Upon such a conviction, however, the judgment and sentence is conclusive evidence at the ensuing disciplinary hearing of the guilty of the license holder or applicant of the crime described in the indictment or information, and of the person's violation of the statute on which it is based. For the purpose of this section, conviction includes all instances in which a plea of guilty or nolo contendere is the basis for the conviction and all proceedings in which the sentence has been deferred or suspended. Nothing in this section abrogates rights guaranteed under chapter 9.96A RCW;
2. Misrepresentation or concealment of a material fact in obtaining a license or in reinstatement thereof;
3. All advertising which is false, fraudulent or misleading;
4. Incompetence, negligence, or malpractice which results in injury to a patient, or which creates an unreasonable risk that a patient may be harmed. The use of a nontraditional treatment by itself shall not constitute unprofessional conduct, provided that it does not result in injury to a patient or create an unreasonable risk that a patient may be harmed;
5. Suspension, revocation, or restriction of the individual's license to practice any health care profession by competent authority in any state, federal, or foreign jurisdiction, a certified copy of the order, stipulation, or agreement being conclusive evidence of the revocation, suspension, or restriction;
6. The possession, use, prescription for use, or distribution of controlled substances or legend drugs in any way other than for legitimate or therapeutic purposes, diversion of controlled substances or legend drugs, the violation of any drug law, or prescribing

_____, _____ (Initial and Date Here)

- controlled substances for oneself;
7. Violation of any state or federal statute or administrative rule regulating the profession in question, including any statute or rule defining or establishing standards of patient care or professional conduct or practice;
 8. Failure to cooperate with the disciplining authority by:
 - (a) Not furnishing any papers or documents;
 - (b) Not furnishing in writing a full and complete explanation covering the matter contained in the complaint filed with the disciplining authority;
 - (c) Not responding to subpoenas issued by the disciplining authority, whether or not the recipient of the subpoena is the accused in the proceedings;
 - (d) Not providing reasonable and timely access for authorized representatives of the disciplining authority seeking to perform practice reviews at facilities utilized by the license holder;
 9. Failure to comply with an order issued by the disciplining authority or a stipulation for informal disposition entered into with the disciplining authority;
 10. Aiding or abetting an unlicensed person to practice when a license is required;
 11. Violations of rules established by any health agency;
 12. Practice beyond the scope of practice as defined by law or rule;
 13. Misrepresentation or fraud in any aspect of the conduct of the business or profession;
 14. Failure to adequately supervise auxiliary staff to the extent that the consumer's health or safety is at risk;
 15. Engaging in a profession involving contact with the public while suffering from a contagious or infectious disease involving serious risk to public health;
 16. Promotion for personal gain of any unnecessary or inefficacious drug, device, treatment, procedure, or service;
 17. Conviction of any gross misdemeanor or felony relating to the practice of the person's profession. For the purposes of this subsection, conviction includes all instances in which a plea of guilty or nolo contendere is the basis for conviction and all proceedings in which the sentence has been deferred or suspended. Nothing in this section abrogates rights guaranteed under chapter 9.96A RCW;
 18. The procuring, or aiding or abetting in procuring, a criminal abortion;
 19. The offering, undertaking, or agreeing to cure or treat disease by a secret method, procedure, treatment, or medicine, or the treating, operating, or prescribing for any health condition by a method, means or procedure which the licensee refuses to divulge upon demand of the disciplining authority;
 20. The willful betrayal of a practitioner-patient privilege as recognized by law;
 21. Violation of chapter 19.68 RCW;
 22. Interference with an investigation or disciplinary proceeding by willful misrepresentation of facts before the disciplining authority or its authorized representative, or by the use of threats or harassment against any patient or witness to prevent them from providing evidence in a disciplinary proceeding or any other legal action, or by the use of financial inducements to any patient or witness to prevent or attempt to prevent him or her from providing evidence in a disciplinary proceeding;
 23. Current misuse of:

_____, _____ (Initial and Date Here)

- (a) Alcohol;
 - (b) Controlled substances; or
 - (c) Legend drugs
24. Abuse of a client or patient or sexual contact with a client or patient;
25. Acceptance of more than a nominal gratuity, hospitality, or subsidy offered by a representative or vendor of medical or health-related products or services intended for patients, in contemplation of a sale or for use in research publishable in professional journals, where a conflict of interest is presented, as defined by rules of the disciplining authority, in consultation with the department, based on recognized professional ethical standards.

This publication should not be considered as the final source of information. If you want more information about the law regulating counselors and hypnotherapists or want to file a complaint, please write to: Department of Health, Health Professions Quality Assurance, PO Box 47869, Olympia, Washington 98405-7869.

If you want to contact someone by phone to discuss the law or talk about a possible complaint, call 360.236.4700, Monday through Friday, 8:00 a.m. to 5:00 p.m.

_____ (Initial and Date Here)